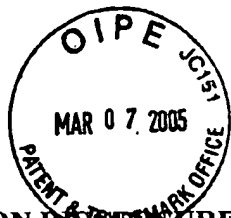


PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.: 09/939,232
 Filing Date: August 24, 2001
 First Named Inventor: Armstrong et al
 Art Unit: 2123
 Examiner Name: J. S. Proctor
 Attorney Docket Number.: ROC920000314US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
<i>JSP</i>	A	US - 6587938 B1	07/01/2003	Catherine K. Eilert et al	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature	<i>J. S. Proctor</i>	Date Considered	2/7/2006
--------------------	----------------------	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.



PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Sheet 2 of 2

Application Number.: 09/939,232
Filing Date: August 24, 2001
First Named Inventor: Armstrong et al
Art Unit: 2123
Examiner Name: J. S. Proctor
Attorney Docket Number.: ROC920000314US1

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T ²
<i>JP</i>	B	IBM AS/400e Logical Partitions: Learning About. © 1999, 2000. http://publib.boulder.ibm.com/pubs/html/as400/v4r5/ic2924/info/rzajx.pdf	
<i>JP</i>	C	IBM AS/400e Logical Partitions: Planning for. © 1999, 2000. http://publib.boulder.ibm.com/pubs/html/as400/v4r5/ic2924/info/rzait.pdf	
<i>JP</i>	D	IBM AS/400e Logical Partitions: Creating. © 1999, 2000. http://publib.boulder.ibm.com/pubs/html/as400/v4r5/ic2924/info/rzaj7.pdf	
<i>JP</i>	E	IBM AS/400e Logical Partitions: Managing. © 1999, 2000. http://publib.boulder.ibm.com/pubs/html/as400/v4r5/ic2924/info/rzaj6.pdf	
<i>JP</i>	F	IBM AS/400e Logical Partitions: Troubleshooting. © 1999, 2000. http://publib.boulder.ibm.com/pubs/html/as400/v4r5/ic2924/info/rzaj8.pdf	

Examiner Signature	<i>Jason Proctor</i>	Date Considered	2/7/2006
--------------------	----------------------	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

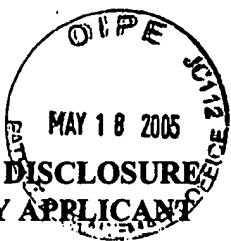
¹ Applicant's unique citation designation number (optional).

² Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1



Application Number.: 09/932,232
 Filing Date: August 24, 2001
 First Named Inventor: William J. Armstrong
 Art Unit: 2123
 Examiner Name: Jason S. Proctor
 Attorney Docket Number.: ROC920000314US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
<i>[Signature]</i>		JP2001188685	07-10-2001	Onodera	<i>[Signature]</i>	

Examiner Signature	<i>[Signature]</i>	Date Considered	2/7/2006
--------------------	--------------------	-----------------	----------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

Sheet 1 (A) of 1

SUBSTITUTE FORM PTO-1449 (MODIFIED) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. IBM/182	SERIAL NO. 09/939,232
	(Use several sheets if necessary)	APPLICANT William Joseph Armstrong et al.	Confirmation No. 4082
		FILING DATE August 24, 2001	GROUP 2123

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>[Signature]</i>	AA	5	4	8	1	7	4	7	01/02/1998	Kametanl	395-18	800-102	07/29/1994
<i>[Signature]</i>	AB	5	9	7	8	8	3	0	11/02/1999	Nakaya et al.	709	102	02/24/1998
<i>[Signature]</i>	AC	8	1	0	5	0	5	0	08/15/2000	Govindaraju et al.	709	102	08/25/1998
<i>[Signature]</i>	AD	6	1	9	5	6	7	6	02/27/2001	Spix et al.	709	107	01/11/1993
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

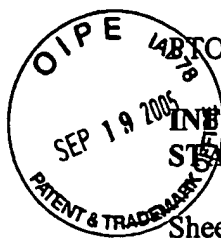
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

	AR	
	AS	
	AT	

EXAMINER <i>[Signature]</i>	DATE CONSIDERED 2/7/2006
--------------------------------	-----------------------------

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)



USPTO/SB/08A

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet 1 of 2

Application Number.: 09/939,232
Filing Date: August 24, 2001
First Named Inventor: William J. Armstrong
Art Unit: 2123
Examiner Name: Jason S. Proctor
Attorney Docket Number.: ROC920000314US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 4,400,769	08/23/1983	Kaneda et al.	
		US - 4,742,447	05/03/1988	Duvall et al.	
		US - 5,187,802 A	02/16/1993	Inoue et al.	
		US - 5,361,375 A	11/01/1994	Ogi, Yoshifumi	
		US - 5,694,606 A	12/02/1997	Pletcher et al.	
		US - 5,898,855 A	04/27/1999	Onodera et al.	
		US - Re. 36,462 E	12/21/1999	Chang et al.	
		US - 6,078,970 A	06/20/2000	Nordstrom et al.	
		US - 6,279,046 B1	08/21/2001	Armstrong et al.	
		US - 6,381,742 B2	04/30/2002	Forbes et al.	
		US - 6,487,654 B2	11/26/2002	Dowling, Eric M.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶

Examiner Signature

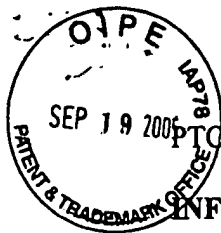
Date Considered

2/7/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.



PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Sheet 2 of 2

Application Number.: 09/939,232
Filing Date: August 24, 2001
First Named Inventor: William J. Armstrong
Art Unit: 2123
Examiner Name: Jason S. Proctor
Attorney Docket Number.: ROC920000314US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		Number - Kind Code ² (if known)			
		US - 6,615,303 B1	09/02/2003	Endo et al.	
		US - 6,711,605 B2	03/23/2004	Sekiguchi et al.	
		US - 6,760,783 B1	07/06/2004	Berry, Frank L.	
		US - 6,779,065 B2	08/17/2004	Murty et al.	
		US2002/0056076 A1	05/09/2002	Made, Peter A.J. van der	
		US2003/0101440 A1	05/29/2003	Hardin et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T ⁶
		Country ³ - Number ⁴ - Kind Code ⁵ Code (if known)				

Examiner Signature		Date Considered	<u>2/7/2006</u>
--------------------	--	-----------------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.